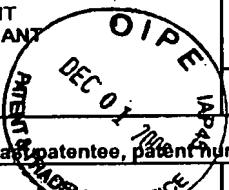


FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE INFORMATION DISCLOSURE STATEMENT LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)									ATTY DOCKET NO: NYKJAER=1	SERIAL NO: 10/539,443			
									APPLICANT: NYKJAER, et al.				
 FILING DATE: June 20, 2005									GROUP:				
U.S. PATENT DOCUMENTS (Include at least patentee, patent number and issue date)													
EXAMINER INITIAL		DOCUMENT NUMBER							DATE	PATENTEE	CLASS	SUB-CLASS	FILING DATE IF APPROP.
/S.M./	AA	6	3	0	0	3	2	7	October 9, 2001	Knusel et al.			
/S.M./	AB	5	5	1	6	7	7	2	May 14, 1996	Glicksman et al.			
/S.M./	AC	6	4	1	7	1	5	9	July 9, 2002	Riopelle et al.			
/S.M./	AD	6	2	9	1	2	4	7	Sept.18,2001	Riopelle et al.			
/S.M./	AE	20	01	00	64	6	9	5	November 29, 2001	Haddock			
FOREIGN PATENT DOCUMENTS (Include at least document number, publication date and country)													
		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES/NO
/S.M./	AF	0	9	9	4	1	8	8	19 th April 2000	EP			YES
OTHER DOCUMENTS (Include author, title, name of publication, volume, pages & date of publication)													
/S.M./	AG	K.E. Neet and R.B. Campenot. Receptor binding, internalization, and retrograde transport of neurotrophic factors. Cell. Mol. Life Sci. 58, 1021-1035 (2001)											
	AH	Lee et al. Regulation of cell survival by secreted proneurotrophins. Science 294, 1945-1948 (November 3, 2001)											
	AI	Moses Chao and Mark Bothwell. Neurotrophins: to cleave or not to cleave. Neuron 33, 9-12 (January 3, 2002)											
	AJ	Munck Petersen et al. Propeptide cleavage conditions sortilin/neurotensin receptor-3 for ligand binding. EMBO J. 18, 595-604 (1999)											
	AK	Hampe et al. The genes for the human VPS10 domain-containing receptors are large and contain many small exons. Human Genetics 108, 529-536 (2001)											
	AL	F.D. Miller and D.R. Kaplan. Neurotrophin signalling pathways regulating neuronal apoptosis. Cell. Mol. Life Sci. 58, 1045-1053 (2001)											
	AM	Jacobsen et al. Molecular characterization of a novel human hybrid-type receptor that binds the α2-macroglobulin receptor-associated protein. J. Biol. Chem. 271, 31379-31383 (December 6, 1996)											
	AN	Petersen et al. Molecular identification of a novel candidate sorting receptor purified from human brain by receptor-associated protein affinity chromatography. J. Biol. Chem. 272, 3599-3605 (February 7, 1997)											
	AO	Nielsen et al. The sortilin cytoplasmic tail conveys Golgi-endosome transport and binds the VHS domain of the GGA2 sorting protein. EMBO J. 20, 2180-2190 (2001)											
	AP	C. Wiesmann and A.M. de Vos. Nerve growth factor: structure and function. Cell. Mol. Life Sci. 58, 748-759 (2001)											
↓	AQ	Rattenholl et al. The pro-sequence facilitates folding of human nerve growth factor from Escherichia coli inclusion bodies. Eur. J. Biochem. 268, 3296-3303 (2001)											
EXAMINER	/Stacey Macfarlane/								DATE CONSIDERED	10/17/2007			
EXAMINER: Initial if reference considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.													

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		FILING DATE: June 20, 2005	GROUP:
OTHER DOCUMENTS (Include author, title, name of publication, volume, pages and date of publication)			
<i>DEC 01 2005</i>	AR /S.M./ <i>TRADE</i> <i>OFFICE</i>	Shirayama et al. Brain-derived neurotrophic factor produces antidepressant effects in behavioral models of depression. J. Neurosci. 22, 3251-3261 (April 15, 2002)	
	AS /S.M./ <i>TRADE</i> <i>OFFICE</i>	Lee et al. The uniqueness of being a neurotrophin receptor. Curr. Opin. Neurobiol. 11, 281-286 (2001)	
	AT /S.M./ <i>TRADE</i> <i>OFFICE</i>	Mazella et al. The 100-kDa neurotensin receptor is gp95/sortilin, a non-G-protein-coupled receptor. J. Biol. Chem. 273, 26273-26276 (October 9, 1998)	
	AU	Barbara L. Hempstead. The many faces of p75NTR, Curr Opin. Neurobiol., 2002 Jun; 12(3):260-7	
	AV	Jacobsen et al. Activation and functional characterization of the mosaic receptor SorLA/LR11. J. Biol. Chem. 276, 22788-22796 (June 22, 2001)	
	AW	Thoenen, "the changing scene of neurotrophic factors", Trends Neurosci. 14, 165-170 (1991)	
	AX	Raffioni et al., "THE RECEPTORS FOR NERVE GROWTH FACTOR AND OTHER NEUROTROPHINS" Ann. Rev. Biochem. 62, 823-850 (1991)	
	AY	Chao, "Neurotrophin Receptors: A Window into Neuronal Differentiation", Neuron 9, 583-593 (1992)	
	AZ	Appel, S. H., "A unifying hypothesis for the cause of amyotrophic lateral sclerosis, parkinsonism, and Alzheimer's disease," Ann. Neurol. 10, 499-505 (1981)	
	BA	Duman et al., "A Molecular and Cellular Theory of Depression", Arch. Gen. Psychiatry 54, 597-606 (1997)	
	BB	Fahnestock et al., "The Precursor Pro-Nerve Growth Factor is the Predominant Form of Nerve Growth Factor in Brain and is Increased in Alzheimer's Disease", Mol. Cell. Neurosci. 18, 210-220 (2001)	
<i>↓</i>	BC	Nykjaer et al., "Sortilin is essential for proNGF-induced neuronal cell death", Nature Vol. 427, p843-848, 26 Feb 2004	
EXAMINER	/Stacey Macfarlane/		DATE CONSIDERED 10/17/2007
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SHEET 1 OF 1

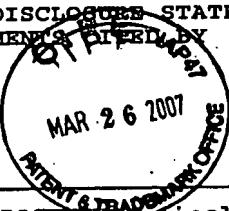
FORM PTO-1449 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE LIST OF DOCUMENTS CITED BY APPLICANT (Use several sheets if necessary)								ATTY DOCKET NO: NYKJAER=1			SERIAL NO: 10/539,443			
								APPLICANT: Anders Nykjaer et al.						
								FILING DATE: June 20, 2005			GROUP:			
U.S. PATENT DOCUMENTS (Include at least patentee, patent number and issue date)														
EXAMINER INITIAL		DOCUMENT NUMBER							DATE	PATENTEE	CLASS	SUB-CLASS	FILING DATE IF APPROP.	
/S.M./	BD	6	3	3	3	3	1	0	December 25, 2001	Presta et al.				
/S.M./	BE	6	0	1	1	0	0	4	Jan 4, 2000	Kessler et al.				
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		DOCUMENT NUMBER							DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES/NO	
/S.M./	BF	0	0	4	4	3	9	6	3 August 2000	PCT			N/A	
▼	BG	0	1	4	9	3	1	3	12 July 2001	PCT			N/A	
	BH	9	8	4	6	2	5	4	22 October 1998	PCT			N/A	
OTHER DOCUMENTS (Include author, title, name of publication, volume, pages & date of publication)														
EXAMINER	/Stacey Macfarlane/								DATE CONSIDERED	10/17/2007				
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SHEET 1 OF 1

INFORMATION DISCLOSURE STATEMENT LIST OF DOCUMENTS CITED BY APPLICANT		ATTY DOCKET NO: NYKJAER, et al.		SERIAL NO: 10/539,443	
		FIRST INVENTOR: NYKJAER, Anders			
		FILING DATE: June 20, 2005			
		EXAMINER:		ART UNIT:	
U.S. PATENT DOCUMENTS (include at least patentee, patent/pub number and filing/issue/pub date)					
EXAM. INITIAL	ID	DOCUMENT NUMBER	FILING, ISSUE OR PUBLICATION DATE MM-DD-YYYY	PATENTEE OR APPLICANT	Relevant Passage(s)
FOREIGN PATENT DOCUMENTS (include at least document number, publication date and country)					
EXAM. INITIAL	ID	COUNTRY CODE & DOCUMENT NUMBER	PUBLICATION DATE MM-DD-YYYY	PATENTEE OR APPLICANT	Relevant Passage(s)
OTHER DOCUMENTS (include AUTHOR, title, name of publication, volume, pages & date of publication) Please list in alphabetical order.					
/S.M./	CA	NYKJAER, et al., "p75NTR - live or let die", <u>CURRENT OPINION IN NEUROBIOLOGY</u> , VOL. 15, PP. 49-57, 2005.			
EXAMINER		/Stacey Macfarlane/		DATE CONSIDERED 10/17/2007	
EXAMINER: Initial if reference considered. Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.					

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INFORMATION DISCLOSURE STATEMENT LIST OF DOCUMENTS REFERRED BY APPLICANT		FIRST INVENTOR: NYKJAER, Anders			
		FILING DATE: June 20, 2005			
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U.S. PATENT DOCUMENTS (include at least patentee, patent/pub number and filing/issue/pub date)					
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/S.M./	DA	02/096356	12-05-2002	Cornell Research Foundation, Inc.	E
OTHER DOCUMENTS (include AUTHOR, title, name of publication, volume, pages & date of publication) Please list in alphabetical order.					
EXAMINER /Stacey Macfarlane/		DATE CONSIDERED		10/17/2007	
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